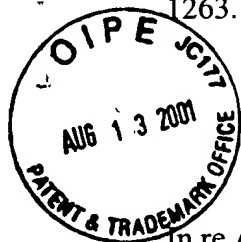


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PATENT APPLICATION

#5



1263.1608

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

YUAN SHAO

Application No.: 09/898,776

Filed: July 3, 2001

For: SPEECH PROCESSING
APPARATUS AND METHOD

)
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Examiner: UNASSIGNED

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Group Art Unit: UNASSIGNED

)
:
August 13, 2001

Commissioner for Patents
Washington, D.C. 20231

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

FEE STATEMENT

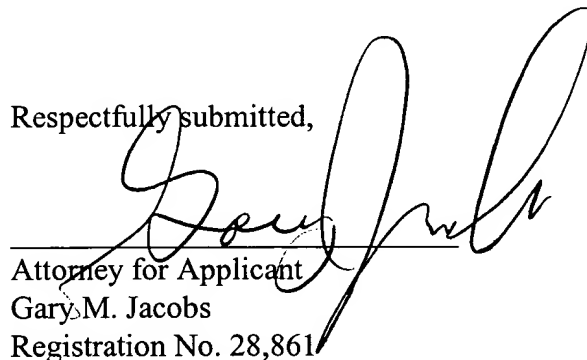
No fee or statement is required in connection with this Information Disclosure statement, since it is being submitted prior to the last of (1) issuance of a first official action on the merits or (2) expiration of the three month period following filing of the above-captioned application.

REMARKS

It is respectfully requested that the cited information be considered by the Examiner and that an annotated copy of the enclosed Form PTO-1449 be returned with the next official communication indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicant
Gary M. Jacobs
Registration No. 28,861

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

GMJ/smj

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)

(Use several sheets if necessary)

Date Submitted to PTO: **August 13, 2001**

ATTY DOCKET NO.

263.1608

APPLICATION NO.

09/898,776

APPLICANT

YUAN SHAO

FILING DATE

July 3, 2001

GROUP

Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,559,925	9/24/96	AUSTIN	395	2.4	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	"Correcting Recognition Errors Via Discriminative Utterance Verification", Setlur, et al., Lucent Technologies, Naperville, IL, pp. 602-605
	"A Probabilistic Approach To Confidence Estimation and Evaluation", Gillick, et al., Dragon Systems, Inc., Newton, MA,
	"Neural - Network Based Measures of Confidence For Word Recognition", Weintraub, et al., Speech Technology and Research Laboratory, IEEE, 1997, pp. 887-890
	"Detecting Misrecognition and Out-of-Vocabulary Words", IEEE 1994 Young, School of Computer Science, Carnegie Mellon University, pp. 21-24
	"Estimating Confidence Using Word Lattices, Kemp, et al., ESCA, Eurospeech 97, Interactive Systems Laboratories, University of Karlsruhe, Germany, pp 827-830
	"Using Word Probabilities As Confidence Measures", Wessel, et al., Lehrstuhl fur Informatik VI, RWTH Aachen - University of Technology, Germany, pp. 225-228

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

Sheet 1 of 1

GMJ\smj